IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of: ephen Alan Smith

Application No.: 10/826,968

Confirmation No.: 1182 April 15, 2004 Filed On:

Container Lid with Closure Member

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Unassigned Examiner:

3727 Art Unit:

INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 C.F.R. §§ 1.97 AND 1.98**

Dear Sir:

Applicants submit herewith Form PTO-1449, "Information Disclosure Statement," and a copy of each foreign and nonpatent reference listed therein.

Since this Statement is being filed within three (3) months of the filing date of this Application, no fee is necessary.

Please charge any additional fees associated with this Communication or credit any overpayment to our Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated: <u>July 14, 2004</u>

By:

Paul J. Nykaza, Reg. No. 38,984 Peter M. Siavelis, Reg. No. 51,136 Wallenstein Wagner & Rockey, Ltd. 311 South Wacker Drive, 53rd Floor Chicago, Illinois 60606-6630

312.554.3300

Attorneys for Applicant

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Gillian Gardner/204632

Unassigned

3727

Examiner:

Art Unit:

mey Docket No. 3177 P 408

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of: Stephen Alan Smith

Application No.:

10/826,968

Confirmation No.: 1182

Filed On:

For:

April 15, 2004

Container Lid with Closure Member

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 C.F.R. §§ 1.97 AND 1.98**

Dear Sir:

Applicants submit herewith Form PTO-1449, "Information Disclosure Statement," and a copy of each foreign and nonpatent reference listed therein.

Since this Statement is being filed within three (3) months of the filing date of this Application, no fee is necessary.

Please charge any additional fees associated with this Communication or credit any overpayment to our Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated: <u>Tuly 14, 2004</u>

Paul J. Nykaza, Reg. No. 38,984 Peter M. Siavelis, Reg. No. 51,136 Wallenstein Wagner & Rockey, Ltd. 311 South Wacker Drive, 53rd Floor

Chicago, Illinois 60606-6630

312.554.3300

Attorneys for Applicant

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Gillian Gardner/204632

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

PTO-1449

Sheet 1 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Attorney Docket Number: 3177 P 408

U.S. PATENT DOCUMENTS

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 1,237,228	Westover	08-14-1917
	US 1,725,393	Cone	08-20-1929
	US 1,752,720	Bergelson	04-01-1930
	US 1,896,976	Schifferdecker	02-07-1933
	US 2,121,554	Thorn	06-21-1938
	US 2,495,806	Block	01-31-1950
	US 2,554,710	Leccese	05-29-1951
	US 3,031,111	Stull	04-24-1962
	US 3,083,861	Amberg et al.	04-02-1963
	US 3,188,036	Sprung	06-08-1965
	US 3,193,130	Miller	07-06-1965
	US 3,262,612	Tabor	07-26-1966
	US 3,326,401	De Long	06-20-1967
	US 3,327,905	Gould	06-27-1967
	US 3,459,324	Miller	08-05-1969
	US 3,690,514	Wilson	09-12-1972
	US 3,805,991	Cheladze et al.	04-23-1974
	US 3,874,580	Weatherhead III	04-01-1975
	US 3,994,411	Elfelt et al.	11-30-1976
	US 4,091,956	Vecchio	05-30-1978
	US 4,138,033	Payne et al.	02-06-1979
	US 4,141,461	LaChance	02-27-1979



INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

PTO-1449

Sheet 2 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 4,183,443	DeParales et al.	01-15-1980
	US 4,188,457	Throp	02-12-1980
	US 4,203,527	LaChance, Sr.	05-20-1980
	US 4,253,582	Shields	03-03-1981
	US 4,331,255	Fournier	05-25-1982
	US 4,333,583	Montemarano	06-08-1982
	US 4,345,695	Galloway, deceased et al.	08-24-1982
	US 4,347,946	Nichols	09-07-1982
	US 4,350,260	Prueher	09-21-1982
	US 4,361,249	Tuneski et al.	11-30-1982
	US 4,420,092	Finkelstein	12-13-1983
	US 4,441,624	Sokolowski	04-10-1984
	US 4,449,640	Finkelstein	05-22-1984
	US 4,460,103	Rama et al.	07-17-1984
	US 4,478,346	Spong	10-23-1984
	US 4,503,992	Sitko et al.	03-12-1985
	US 4,557,398	Hambleton et al.	12-10-1985
	US 4,570,817	Hambleton et al.	02-18-1986
	US 4,579,245	Narushko	04-01-1986
	US 4,589,569	Clements	05-20-1986
	US 4,598,837	Kreiseder et al.	07-08-1986

INFORMATION DISCLOSURE STATEMENT BY APPAIL AND

PTO-1449

Sheet 3 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 4,615,459	Clements	10-07-1986
	US 4,619,372	McFarland	10-28-1986
	US 4,629,088	Durgin	12-16-1986
	US 4,657,139	Hanifl	04-14-1987
	US 4,703,873	Geren	11-03-1987
	US 4,705,197	Gordon et al.	11-10-1987
	US 4,718,570	Diener	01-12-1988
	US 4,738,373	DeParales	04-19-1988
	US 4,741,450	Braude	05-03-1988
	US 4,747,511	Dutt et al.	05-31-1988
	US 4,770,325	Gordon et al.	09-13-1988
	US 4,782,975	Coy	11-08-1988
	US 4,790,444	Terzi	12-13-1988
	US 4,792,054	Weidman	12-20-1988
	US 4,796,774	Nabinger	01-10-1989
	US 4,863,051	Eibner et al.	09-05-1989
	US 4,869,389	Cerrone, Jr.	09-26-1989
	US 4,874,103	Quisenberry et al.	10-17-1989
	US 4,898,295	Kim	02-06-1990
	US 4,899,902	DeMars	02-13-1990
	US 4,915,250	Hayes, Jr.	04-10-1990

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

PTO-1449

Sheet 4 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 4,930,654	Thibeault et al.	06-05-1990
	US 4,932,555	La Barge	06-12-1990
	US 4,938,379	Kellner	07-03-1990
	US 4,949,865	Turner	08-21-1990
	US 4,953,743	Dart et al.	09-04-1990
	US 4,966,300	Coonradt	10-30-1990
	US 5,012,061	Lesser	04-30-1991
	US 5,090,584	Roberts et al.	02-25-1992
	US 5,111,961	Van Melle	05-12-1992
	US 5,148,936	DeGrow	09-22-1992
	US 5,167,338	Kick	12-01-1992
	US 5,180,073	Fay et al.	01-19-1993
	US 5,183,172	Boller	02-02-1993
	US 5,193,704	Kick	03-16-1993
	US 5,197,624	Doddaro	03-30-1993
	US 5,205,430	Valyi	04-27-1993
	US 5,294,014	Wyatt et al.	03-15-1994
	Des. 346,718	Timmermans	05-10-1994
	US 5,395,006	Verma	03-17-1995
	US 5,398,843	Warden et al.	03-21-1995
	US 5,415,312	Mueller	05-16-1995

INFORMATION DISCLOSURE STATEMENT BY ARALICAN

PTO-1449

Sheet 5 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 5,421,472	Beckertgis	06-06-1995
	US 5,449,085	Brun, Jr.	09-12-1995
	US 5,485,938	Boersma	01-23-1996
	US 5,490,609	Lane et al.	02-13-1996
	US 5,495,941	Leonard	03-05-1996
	US 5,497,920	Moeller et al.	03-12-1996
	US 5,503,289	Fox	04-02-1996
	US 5,503,662	Berger	04-02-1996
	Des. 368,624	Forrer	04-09-1996
	US 5,588,561	Ness	12-31-1996
	US 5,613,619	Van Melle	03-25-1997
	US 5,699,927	Lane et al.	12-23-1997
	US 5,706,972	Sousa	01-13-1998
	US 5,718,353	Kanfer et al.	02-17-1998
	US 5,727,707	Wickland et al.	03-17-1998
	US 5,766,660	Lee et al.	06-16-1998
	US 5,775,205	Melton	09-07-1998
	US 5,839,601	Van Melle	11-24-1998
	Des. 402,556	Frye	12-15-1998
	US 5,866,184	Gorlich et al.	02-02-1999
	US 5,873,298	Chang	02-23-1999

INFORMATION DISCLOSURE

STATEMENT BY APPAIRANT

PTO-1449

Sheet 6 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 5,911,331	Boller	06-15-1999
	US 5,913,964	Melton	06-22-1999
	US 5,947,319	Sinski	09-07-1999
	US 5,947,323	Freek et al.	09-17-1999
	US 5,954,241	Huang	09-21-1999
	US 5,979,689	Lansky	11-09-1999
	US 5,984,127	Fenton	11-16-1999
	US 5,996,837	Freek et al.	12-07-1999
	US 6,003,711	Bilewitz	12-21-1999
	US 6,003,721	Fleming	12-21-1999
	US 6,010,029	Wang	01-04-2000
	US 6,089,397	Van Melle	07-18-2000
	US 6,095,033	Melton	08-01-2000
	US 6,176,384	Voloshin	01-23-2001
	US D437,223	Coy et al.	02-06-2001
	US 6,199,711	Lansky	03-13-2001
	US 6,216,904	Cagan	04-17-2001
	US 6,220,470	McHenry et al.	04-24-2001
	US 6,305,571	Chu	10-23-2001
	US 6,374,726 B1	Melton	04-23-2002
	US 2003/0089713 A1	Belt et al.	05-15-2003

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

PTO-1449

Sheet 7 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Attorney Docket Number: 3177 P 408

Examiner Initial	Document No. Kind Code (if known)	Name of Patentee or Applicant	Publication Date (MM-DD-YYYY)
	US 2003/0089714 A1	Dart et al.	05-15-2003
	US 6,578,726 B1	Schaefer	06-17-2003
	US 6,612,456 B1	Hundley et al.	09-02-2003
	US D480,968 S	Atkins et al.	10-21-2003

FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document		Name of Patentee or Applicant	Date of Publication	Т	
	Office	Number	Kind			
	Switzerland	393 955			11-15-1965	N
	Germany	18 16 709	A1		02-19-1970	N
	Great Britain	1 576 126	Α		10-01-1980	
	Great Britain	1 600 917			10-21-1981	
	Great Britain	2 091 232	A		07-28-1982	
	EP	0 160 372	A		11-06-1985	
	Great Britain	2 182 027	A		05-07-1987	
	EP	0 383 442	A1		08-22-1990	
	Germany	295 02 654	U1		08-24-1995	N
	Japan	07-309379			11-25-1995	N
	Japan	10-129706	A		05-19-1998	N
	PCT	WO 99 47429			09-23-1999	
	Japan	2000-159254			06-13-2000	N
	PCT	WO 00 51908			09-08-2000	

INFORMATION DISCLOSURE

STATEMENT BY APPLE

PTO-1449

Sheet 8 of 8

Application No.: 10/826,968 Filing Date: April 15, 2004

First Named Inventor: Stephen Alan Smith

Art Unit: 3727

Examiner Name: Unassigned

Attorney Docket Number: 3177 P 408

OTHER PRIOR ART OR NON-PATENT LITERATURE DOCUMENTS

Examiner Initial	(including Author (in capital letters), Title of the article, Title of the item, Date, Pages, Volume-Issue number, Publisher, City and/or Country where published.)	Т
	Copy of photograph of HotJo Ceramic Mug/Lid obtained through market research, April 2000.	
	Copy of photograph of Starbucks Cup/Lid obtained through market research, April 2000.	
	Copy of photograph of Super America Cup/Lid obtained through market research, April 2000.	
	Copy of photograph of Dunkin Donuts Cup/Lid obtained through market research, April 2000.	
	BERRINGTON, N., <i>International Search Report</i> , September 4, 2002, 6 pp., Patent Cooperation Treaty, Rijswijk, Netherlands.	
	Seven (7) color photographs showing different views of Whirley Thermo Mug/Lid obtained in February 2003.	

Examiner Signature:

Date Considered:

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

204631v1